

PTO/SB/21 (09-06)

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# TRANSMITTAL FORM

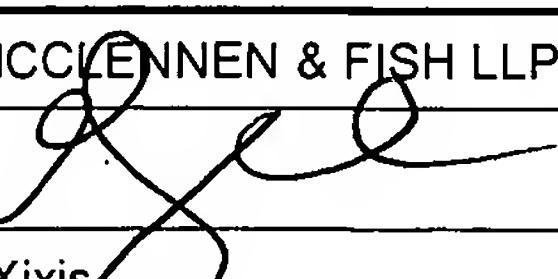
(to be used for all correspondence after initial filing)

|  |   |                        |                                       |
|--|---|------------------------|---------------------------------------|
|  |   | Application Number     | 10/562,113, Conf. #1197               |
|  |   | Filing Date            | December 23, 2005                     |
|  |   | First Named Inventor   | Chunfeng Guo, et al.                  |
|  |   | Art Unit               | Not Yet Assigned                      |
|  |   | Examiner Name          | Not Yet Assigned                      |
| Total Number of Pages in This Submission | 9 | Attorney Docket Number | 107780-124 (Formerly 121732-05167579) |

## ENCLOSURES (Check all that apply)

|  |   |   |
|--|---|---|
| <input checked="" type="checkbox"/> Fee Transmittal Form                           | <input type="checkbox"/> Drawing  | <input type="checkbox"/> After Allowance Communication to TC                            |
| <input checked="" type="checkbox"/> Fee Attached                                   | <input type="checkbox"/> Licensing-related Papers                         | <input type="checkbox"/> Appeal Communication to Board of Appeals and Interferences     |
| <input type="checkbox"/> Amendment/Reply   | <input type="checkbox"/> Petition   | <input type="checkbox"/> Appeal Communication to TC (Appeal Notice, Brief, Reply Brief) |
| <input type="checkbox"/> After Final   | <input type="checkbox"/> Petition to Convert to a Provisional Application | <input type="checkbox"/> Proprietary Information  |
| <input type="checkbox"/> Affidavits/declaration(s)                                 | <input checked="" type="checkbox"/> Declaration and Power of Attorney     | <input type="checkbox"/> Status Letter  |
| <input type="checkbox"/> Extension of Time Request                                 | <input type="checkbox"/> Terminal Disclaimer                              | <input checked="" type="checkbox"/> Other Enclosure(s) (please identify below):         |
| <input type="checkbox"/> Express Abandonment Request                               | <input type="checkbox"/> Request for Refund                               | 1. Check for \$65.00; and   |
| <input type="checkbox"/> Information Disclosure Statement                          | <input type="checkbox"/> CD, Number of CD(s) _____                        | 2. Return Receipt Postcard.   |
| <input type="checkbox"/> Certified Copy of Priority Document(s)                    | <input type="checkbox"/> Landscape Table on CD                            |   |
| <input checked="" type="checkbox"/> Reply to Missing Parts/ Incomplete Application | <input type="checkbox"/> Remarks  |   |
| <input type="checkbox"/> Reply to Missing Parts under 37 CFR 1.52 or 1.53          |   |   |

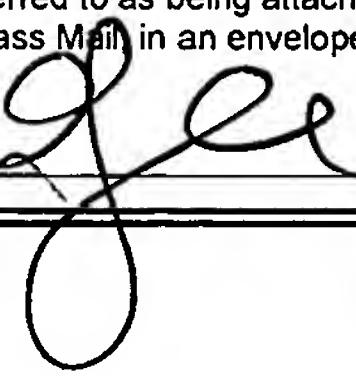
## SIGNATURE OF APPLICANT, ATTORNEY, OR AGENT

|              |   |          |        |
|--------------|---|----------|--------|
| Firm Name    | NUTTER MCCLENNEN & FISH LLP   |          |        |
| Signature    |  |          |        |
| Printed name | George A. Xixis   |          |        |
| Date         | March 23, 2007  | Reg. No. | 38,664 |

## Transmittal

I hereby certify that this paper (along with any paper referred to as being attached or enclosed) is being deposited with the U.S. Postal Service on the date shown below with sufficient postage as First Class Mail, in an envelope addressed to: MS Missing Parts, Commissioner for Patents, P.O. Box 1450, Alexandria, VA 22313-1450.

Dated: March 23, 2007

Signature: 

(George A. Xixis)



UNITED STATES PATENT AND TRADEMARK OFFICE

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|                               |                       |                  |
|-------------------------------|-----------------------|------------------|
| U.S. APPLICATION NUMBER NO.   | FIRST NAMED APPLICANT | ATTY. DOCKET NO. |
| 10/562,113                    | Chunfeng Guo          | 121732-05167579  |
| INTERNATIONAL APPLICATION NO. |                       | PCT/US04/20037   |
| I.A. FILING DATE              |                       | PRIORITY DATE    |
| 06/23/2004                    |                       | 06/23/2003       |
| CONFIRMATION NO. 1197         |                       |                  |
| 371 FORMALITIES LETTER        |                       |                  |
|                               |                       |                  |
| *OC00000022168644*            |                       |                  |

Date Mailed: 01/26/2007

**NOTIFICATION OF MISSING REQUIREMENTS UNDER 35 U.S.C. 371 IN THE UNITED STATES DESIGNATED/ELECTED OFFICE (DO/EO/US)**

The following items have been submitted by the applicant or the IB to the United States Patent and Trademark Office as a Designated / Elected Office (37 CFR 1.495).

- Indication of Small Entity Status
- Copy of the International Application filed on 12/23/2005
- Copy of the International Search Report filed on 12/23/2005
- Preliminary Amendments filed on 12/23/2005
- Small Entity Statement filed on 12/23/2005
- U.S. Basic National Fees filed on 12/23/2005
- Priority Documents filed on 12/23/2005
- Specification filed on 12/23/2005
- Claims filed on 12/23/2005
- Abstracts filed on 12/23/2005
- Drawings filed on 12/23/2005

The applicant needs to satisfy supplemental fees problems indicated below.

The following items **MUST** be furnished within the period set forth below in order to complete the requirements for acceptance under 35 U.S.C. 371:

- Oath or declaration of the inventors, in compliance with 37 CFR 1.497(a) and (b), identifying the application by the International application number and international filing date.
- To avoid abandonment, a surcharge (for late submission of filing fee, search fee, examination fee or oath or declaration) as set forth in 37 CFR 1.492(h) of \$65 for a small entity in compliance with 37 CFR 1.27, must be submitted with the missing items identified in this letter.

SUMMARY OF FEES DUE:

Total additional fees required for this application is \$65 for a Small Entity:

- \$65 Surcharge.

**ALL OF THE ITEMS SET FORTH ABOVE MUST BE SUBMITTED WITHIN TWO (2) MONTHS FROM THE DATE OF THIS NOTICE OR BY 32 MONTHS FROM THE PRIORITY DATE FOR THE APPLICATION, WHICHEVER IS LATER. FAILURE TO PROPERLY RESPOND WILL RESULT IN ABANDONMENT.**

The time period set above may be extended by filing a petition and fee for extension of time under the provisions of 37 CFR 1.136(a).

Applicant is reminded that any communications to the United States Patent and Trademark Office must be mailed to the address given in the heading and include the U.S. application no. shown above (37 CFR 1.5)

Registered users of EFS-Web may alternatively submit their reply to this notice via EFS-Web.  
<https://sportal.uspto.gov/authenticate/AuthenticateUserLocalEPF.html>

For more information about EFS-Web please call the USPTO Electronic Business Center at 1-866-217-9197 or visit our website at <http://www.uspto.gov/ebc>.

**If you are not using EFS-Web to submit your reply, you must include a copy of this notice.**

PATRICIA A BOOKER

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Telephone: (703) 308-9140 EXT 204

PART 2 - OFFICE COPY

| U.S. APPLICATION NUMBER NO. | INTERNATIONAL APPLICATION NO. | ATTY. DOCKET NO. |
|-----------------------------|-------------------------------|------------------|
| 10/562,113                  | PCT/US04/20037                | 121732-05167579  |

FORM PCT/DO/EO/905 (371 Formalities Notice)

|   |               |                          |                                       |
|---|---------------|--------------------------|---------------------------------------|
| <i>Fees pursuant to the Consolidated Appropriations Act, 2005 (H.R. 4818).</i>            |               | <b>Complete if Known</b> |                                       |
| <b>FEE TRANSMITTAL</b><br><b>For FY 2007</b>  |               | Application Number       | 10/562,113, Conf. #1197               |
|   |               | Filing Date              | December 23, 2005                     |
|   |               | First Named Inventor     | Chunfeng Guo, et al.                  |
|   |               | Examiner Name            | Not Yet Assigned                      |
| <input checked="" type="checkbox"/> Applicant claims small entity status. See 37 CFR 1.27 |               | Art Unit                 | Not Yet Assigned                      |
| TOTAL AMOUNT OF PAYMENT   | (\$)<br>65.00 | Attorney Docket No.      | 107780-124 (Formerly 121732-05167579) |

**METHOD OF PAYMENT** (check all that apply)

|   |                                      |                                      |                               |   |
|---|--------------------------------------|--------------------------------------|-------------------------------|---|
| <input checked="" type="checkbox"/> Check | <input type="checkbox"/> Credit Card | <input type="checkbox"/> Money Order | <input type="checkbox"/> None | <input type="checkbox"/> Other (please identify): _____ |
| <input type="checkbox"/> Deposit Account  | Deposit Account Number: 141449       |                                      | Deposit Account Name: _____   | Nutter McClellan & Fish LLP                             |

For the above-identified deposit account, the Director is hereby authorized to: (check all that apply)

|   |   |
|---|---|
| <input type="checkbox"/> Charge fee(s) indicated below  | <input type="checkbox"/> Charge fee(s) indicated below, except for the filing fee |
| <input checked="" type="checkbox"/> Charge any additional fee(s) or underpayment of fee(s) under 37 CFR 1.16 and 1.17 | <input checked="" type="checkbox"/> Credit any overpayments                       |

**FEE CALCULATION** (All the fees below are due upon filing or may be subject to a surcharge.)**1. BASIC FILING, SEARCH, AND EXAMINATION FEES**

| <u>Application Type</u> | <u>FILING FEES</u> |                     | <u>SEARCH FEES</u> |                     | <u>EXAMINATION FEES</u> |                     |                       |
|-------------------------|--------------------|---------------------|--------------------|---------------------|-------------------------|---------------------|-----------------------|
|                         | <u>Fee (\$)</u>    | <u>Small Entity</u> | <u>Fee (\$)</u>    | <u>Small Entity</u> | <u>Fee (\$)</u>         | <u>Small Entity</u> | <u>Fees Paid (\$)</u> |
| Utility                 | 300                | 150                 | 500                | 250                 | 200                     | 100                 | _____                 |
| Design                  | 200                | 100                 | 100                | 50                  | 130                     | 65                  | _____                 |
| Plant                   | 200                | 100                 | 300                | 150                 | 160                     | 80                  | _____                 |
| Reissue                 | 300                | 150                 | 500                | 250                 | 600                     | 300                 | _____                 |
| Provisional             | 200                | 100                 | 0                  | 0                   | 0                       | 0                   | _____                 |

**2. EXCESS CLAIM FEES**Fee Description

|  |     |     |
|--|-----|-----|
| Each claim over 20 (including Reissues)            | 50  | 25  |
| Each independent claim over 3 (including Reissues) | 200 | 100 |
| Multiple dependent claims                          | 360 | 180 |

| <u>Total Claims</u>   | <u>Extra Claims</u> | <u>Fee (\$)</u> | <u>Fee Paid (\$)</u> | <u>Multiple Dependent Claims</u> | <u>Small Entity</u> |
|---|---------------------|-----------------|----------------------|----------------------------------|---------------------|
| - 76 = _____  |                     |                 | x _____ = _____      | <u>Fee (\$)</u>                  | <u>Fee (\$)</u>     |
| HP = highest number of total claims paid for, if greater than 20. |                     |                 |                      |                                  |                     |

| <u>Indep. Claims</u>   | <u>Extra Claims</u> | <u>Fee (\$)</u> | <u>Fee Paid (\$)</u> | <u>Fee (\$)</u> | <u>Fee Paid (\$)</u> |
|--|---------------------|-----------------|----------------------|-----------------|----------------------|
| - 13 = _____   |                     |                 | x _____ = _____      | _____           | _____                |
| HP = highest number of independent claims paid for, if greater than 3. |                     |                 |                      |                 |                      |

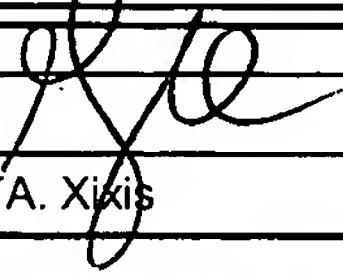
**3. APPLICATION SIZE FEE**

If the specification and drawings exceed 100 sheets of paper (excluding electronically filed sequence or computer listings under 37 CFR 1.52(e)), the application size fee due is \$250 (\$125 for small entity) for each additional 50 sheets or fraction thereof. See 35 U.S.C. 41(a)(1)(G) and 37 CFR 1.16(s).

| <u>Total Sheets</u> | <u>Extra Sheets</u> | <u>Number of each additional 50 or fraction thereof</u> | <u>Fee (\$)</u> | <u>Fee Paid (\$)</u> |
|---------------------|---------------------|---|-----------------|----------------------|
| - 100 = _____       | /50                 | (round up to a whole number) x _____ = _____            | _____           | _____                |

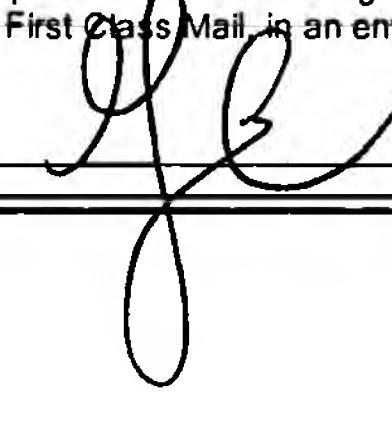
**4. OTHER FEE(S)**

|   |       |
|---|-------|
| Non-English Specification, \$130 fee (no small entity discount)     | 65.00 |
| Other (e.g., late filing surcharge): 2051 Surcharge-Late filing fee | 65.00 |

|                                      |  |
|--------------------------------------|--|
| <b>SUBMITTED BY</b>                  |  |
| Signature                            |  |
| Name (Print/Type)                    | George A. Xixis  |
| Registration No.<br>(Attorney/Agent) | 38,664   |
| Telephone                            | (617) 439-2000   |
| Date                                 | March 23, 2007   |

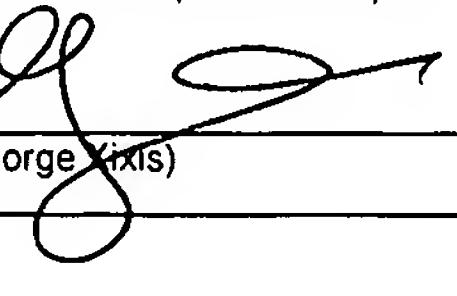
**Fee Transmittal**

I hereby certify that this paper (along with any paper referred to as being attached or enclosed) is being deposited with the U.S. Postal Service on the date shown below with sufficient postage as First Class Mail, in an envelope addressed to: MS Missing Parts, Commissioner for Patents, P.O. Box 1450, Alexandria, VA 22313-1450.

Dated: August 8, 2006      Signature:  (George A. Xixis)

I hereby certify that this correspondence is being deposited with the U.S. Postal Service with sufficient postage as First Class Mail, in an envelope addressed to: MS Missing Parts, Commissioner for Patents, P.O. Box 1450, Alexandria, VA 22313-1450, on the date shown below.

Dated: March 23, 2007

Signature:   
(George Xixis)

Docket No.: 107780-124  
(Formerly 121732-05167579)

## IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

In re Patent Application of: Chunfeng Guo, et al.

Application No.: 10/562,113

Filed: December 23, 2005

For: COMPOSITIONS AND METHODS FOR  
TROPICAL ADMINISTRATION

Confirmation No.: 1197

Art Unit: Not Yet Assigned

Examiner: Not Yet Assigned

### RESPONSE TO NOTICE TO FILE MISSING PARTS OF APPLICATION

MS Missing Parts  
Commissioner for Patents  
P.O. Box 1450  
Alexandria, VA 22313-1450

Dear Sir:

In response to the Notice to File Missing Parts of Application – Filing Date Granted mailed January 26, 2007, Part 2 – copy enclosed, Applicant respectfully submits a Combined Declaration and Power of Attorney, the Declaration Fee for the Application (as shown on accompanying Fee Transmittal), and Part 2 Copy of Notice.

Our check in the amount of \$65.00 covering the fee set forth in 37 CFR 1.492(h), incompliance with 37 CFR 1.27 for a small entity is enclosed. The Director is hereby authorized to charge any deficiency in the fees filed, asserted to be filed or which should have been filed herewith (or with any paper hereafter filed in this application by this firm) to our Deposit Account No. 141449, under Order No. 107780-124.

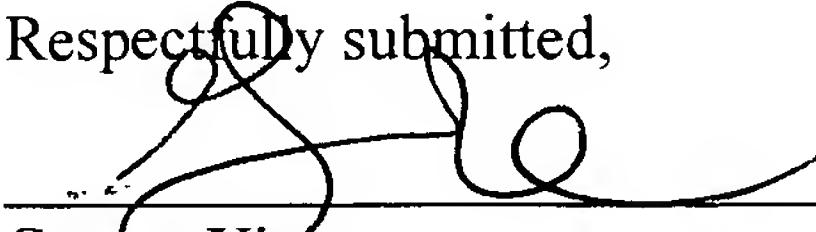
03/30/2007 MKAYPAGH 00000096 10562113

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65.00 0P

Date: March 23, 2007

Respectfully submitted,

  
George Xixis  
Reg. No.: 38,664  
Attorney for Applicant(s)

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Boston, MA 02210  
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